

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Chen et al. Art Unit : 1644  
Serial No. : 10/619,824 Examiner : Ilia I. Ouspenski  
Filed : July 14, 2003 Conf. No. : 7199  
Title : TREATMENT AND PROPHYLAXIS WITH 4-1BB-BINDING AGENTS

**MAIL STOP AMENDMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Applicants request consideration of the references listed on the attached PTO-1449 form. A copy of a communication from a foreign patent office in a counterpart application is also enclosed.

This statement is being filed after a first Office action on the merits, but before receipt of a final Office action or a Notice of Allowance. I, the undersigned, hereby certify that each item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application, the communication being dated October 6, 2006, which is not more than three months prior to the filing of this statement. Please apply any charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: November 7, 2006

Elizabeth N. Kaytor  
Elizabeth N. Kaytor, Ph.D.  
Reg. No. 53,103

Fish & Richardson P.C.  
60 South Sixth Street, Suite 3300  
Minneapolis, MN 55402  
Telephone: (612) 335-5070  
Facsimile: (612) 288-9696

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 07039-427001	Application No. 10/619,824
<b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary)  (37 CFR §1.98(b))		Applicant Chen et al.	
		Filing Date July 14, 2003	Group Art Unit 1644

<b>U.S. Patent Documents</b>							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA						

<b>Foreign Patent Documents or Published Foreign Patent Applications</b>							
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation
							Yes
	AB	WO 03/49755	06/19/03	WIPO			

<b>Other Documents (include Author, Title, Date, and Place of Publication)</b>							
Examiner Initial	Desig. ID	Document					
	AC	Foell et al., "CD137-Mediated T Cell Co-Stimulation Terminates Existing Autoimmune Disease in SLE-Prone NZB/NZW F1 Mice," <i>Ann. N.Y. Acad. Sci.</i> , 2003, 987:230-235					
	AD	Sun et al., "Administration of Agonistic Anti-4-1BB Monoclonal Antibody Leads to the Amelioration of Experimental Autoimmune Encephalomyelitis," <i>J. Immunol.</i> , 2002, 168:1457-1465					
	AE	Sun et al., "Costimulatory molecule-targeted antibody therapy of a spontaneous autoimmune disease," <i>Nature Medicine</i> , 2002, 8(12):1405-1413					
	AF						

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	